



# Fund Withdrawal TAX CERTIFICATION

**NOTE: The following information is requested under Section 32B of the Tax Administration Act 1994 and will be relied on by the Scheme Trustee in deciding whether the withdrawal is subject to Fund Withdrawal Tax. If you are unsure how to complete this form, please contact AXA New Zealand, or seek professional advice.**

## PART A – QUESTIONS TO BE ANSWERED BY MEMBER

- 1 Has any Employer made contributions into your plan since 1 April 2000, i.e. other than your own salary deductions?  
 Yes  No If no, no further information is required, go to Q 13
- 2 Does the amount of your withdrawal include any of those employer contributions?  
 Yes  No If no, no further information is required, go to Q 13
- 3 Have you elected to have employer contributions since 1 April 2000 taxed at either 39% or at your personal PAYE rate?  
 Yes  No If yes, no further information is required, go to Q 13
- 4 If you have earned more than \$70,000 (\$60,000 for withdrawals prior to 1 October 2008) in total taxable income from all sources in any of the last 4 completed income years, please tick below as appropriate:  
 1 year  2 Years  3 Years  4 Years  
 OR if above not applicable, tick this box and proceed to Q 13
- 5 Is this withdrawal a result of: (tick one)  
 Significant financial hardship?  
 Matrimonial separation?
- 6 Is this withdrawal as a result of ceasing employment due to: (tick one)  
 Death  Illness/Injury If either applies, go to Q 13
- 7 Is the withdrawal to be:  
 Transferred to another superannuation fund?  Yes  No  
 Used to purchase an annuity or other income stream for 10 years or more?  Yes  No  
 If the answer to any of the above is yes, go to Q 12

## PART B – QUESTIONS TO BE ANSWERED BY EMPLOYER

- 8 Have employer contributions (from which SSCWT has been deducted) been made to the plan for membership since 1 April 2000?  
 Yes  No If no, go to Q 13
- 9 Was the rate of those contributions in accordance with a Trust Deed or employment contract in place before 1 April 2000?  
 Yes  No If yes, go to Q 13
- 10 Has the member been employed for more than two years?  
 Yes  No If no, go to Q 12
- 11 During the past 3 years, has the annualised value of employer contributions made for this member increased by more than 50% over the previous year?  
 Yes  No If no, go to Q 13
- 12 Total employer contributions (excluding contributions paid as a result of contractual obligations existing as at 31 March 2000) for this member for each income year of the four previous years to date of plan termination were:  
 Year Ending Employer Contributions (net of SSCWT)
- |                  |    |                      |
|------------------|----|----------------------|
| 31 March 20_____ | \$ | <input type="text"/> |
| 31 March 20_____ | \$ | <input type="text"/> |
| 31 March 20_____ | \$ | <input type="text"/> |
| 31 March 20_____ | \$ | <input type="text"/> |

Please note: If the member is receiving a termination benefit (i.e. is not transferring to another superannuation plan), record those employer contributions paid in this year and the last 2 income years only.

13 Authorisation by member and employer.

Signature of Member

Date

Signature of Authorised Officer (Authorised Officer must not be the member receiving the benefit)

Date

Send this completed form to AXA New Zealand, PO Box 1692, Wellington.