



Please complete sections 1 & 3. Only complete section 4 if applicable.

1. YOUR DETAILS

[Full Name Field] [Plan Number Field]

FULL NAME

PLAN NUMBER

I authorise AXA New Zealand to deposit the withdrawal amount of \$ [Amount Field] to my bank account as follows

[Name of Bank Account Holder Field]

NAME OF BANK ACCOUNT HOLDER

[Bank Field] [Branch Number Field] [Account Number Field] [Suffix Field]

BANK

BRANCH NUMBER

ACCOUNT NUMBER

SUFFIX

Please attach a deposit slip to confirm the bank account (optional).

TRANSFER TO ANOTHER SCHEME [] NAME OF RECEIVING SCHEME [Field]

IRD NUMBER [Field]

PRESCRIBED INVESTOR RATE [] 10.5% [] 17.5% [] 28%

Your Prescribed Investor Rate is the rate at which Portfolio Investment Entities, such as your superannuation plan, are taxed. To find the rate that best applies to you, please contact the Inland Revenue or go to www.ird.govt.nz.

If we do not have a record of your IRD number or Prescribed Investor Rate, we are required to use the default rate of 28%. If you do not advise your correct PIR, you may end up paying too much tax on your investment or may have an obligation to pay further tax and file a tax return.

2. ADVICE

Have you received financial advice from an adviser in making the decision to make this withdrawal? (please tick) [] YES [] NO
If yes, please ensure the adviser section below is completed by your adviser.

TO BE COMPLETED BY THE ADVISER (OFFICE USE ONLY)

I confirm I am an: (please tick one) [] AFA (Authorised to give advice on investments) [] Other (please specify) [Field]

and I certify that the information provided in this Adviser Information Section is correct and that I have complied with the requirements under the Financial Advisers Act 2008 and all other applicable laws.

[Adviser Name Field] [FSP Number Field] *please use your QFE's FSPN if you are a QFE adviser

ADVISER NAME

FSP NUMBER*

3. UK PENSION TRANSFERS

1. Were any of the funds in your superannuation plan transferred either directly or indirectly (including via another New Zealand superannuation scheme) from a UK registered pension scheme? [] No [] Yes

If No, please sign below and send this form to AXA.

2. Have you been a UK resident for UK tax purposes at any time during the current or previous five complete UK tax years (6 April to 5 April each year)? [] No [] Yes

If No, you will also need to complete the 'Non-UK Tax Resident Withdrawal Declaration' in section 4 overleaf.

Important Notes: If you answer 'YES' to both of these questions AXA may be required to report your withdrawal to Her Majesty's Revenue and Customs (HMRC) and this withdrawal may incur an unauthorised payment charge.

- The rules around withdrawals are complex and each person's circumstances vary.
- Please contact your tax adviser or HMRC for further information prior to withdrawal.
- Please note that if you make an unauthorised withdrawal then you are personally responsible to report the withdrawal to HMRC.

I indemnify AXA Wealth Management Limited for any loss incurred or damage suffered by it or any other party resulting from any false or incomplete information given in this form (including the declaration in section 4).

[Signature Field] [Date Field]

SIGNATURE

DATE

Continued overleaf...

4. NON-UK TAX RESIDENT WITHDRAWAL DECLARATION

Please complete this Declaration if you transferred funds (directly or indirectly) from your UK registered pension scheme into your AXA Personal Superannuation plan.

For UK tax purposes, your New Zealand QROPS Scheme is required to report all withdrawals from funds originally transferred from a UK registered pension scheme, unless you can show that you have not been a UK resident for tax purposes for at least five complete consecutive UK tax years up to the time the withdrawal is requested.

I,
FULL NAME

OF
RESIDENTIAL ADDRESS

OCCUPATION PLAN NUMBER

Solemnly and sincerely declare that:

1. I understand that the UK tax year runs from 6 April to 5 April; and
2. I have not been a UK resident for UK tax purposes at any time during the current or previous five complete consecutive UK tax years; and
3. I understand that this Declaration will be used to support my application to withdraw funds transferred from my UK registered pension scheme.

And I make this solemn declaration conscientiously believing the same to be true and by virtue of the Oaths and Declarations Act 1957.

SIGNATURE OF DECLARANT

DECLARED AT

THIS DAY OF 20

SIGNATURE OF WITNESS*

*A Justice of the Peace, or Solicitor, or Notary Public, or other person authorised to take a statutory declaration.

If you have completed a 'Non-UK Tax Resident Withdrawal Declaration', AXA may still report your withdrawal to HMRC.

Please note: Tax legislation is complex, and may change from time to time. The information provided is based on AXA's understanding of current tax legislation. As AXA is not an expert on tax law (including UK tax law), and as individual circumstances may vary, we strongly recommend that you seek the advice of an independent professional tax adviser.

Send your completed form to
AXA New Zealand, Freepost AXA, PO Box 1692, Wellington 6140